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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Sandra J. Rosenthal
Serial No.: 09/864,728
Filed: May 24, 2001
For: Linker Arms For Nanocrystals And Compounds Thereof
Group No. 1614
Attorney's Docket No. N-6109
Customer No. 23456

INFORMATION DISCLOSURE STATEMENT
(SUBMISSION AFTER FILING AN APPLICATION
BUT BEFORE A REJECTION)

Assistant Commissioner for Patents
Washington, D.C. 20231

September 7, 2001

Dear Sir:

Pursuant to 37 C.F.R. §1.97 and §1.98, applicants hereby submit an Information Disclosure Statement for consideration by the Examiner.

I. List of Patents, Publications or other Information

The Patents, publications, or other information submitted for consideration by the Office are listed on the PTO-1449(s), attached hereto.

II. Copies

Submitted herewith is a legible copy of

- (i) each U.S. and Foreign Patent;
- (ii) each publication or that portion which caused it to be listed; and/or
- (iii) all other information or that portion which caused it to be listed.

III. Concise Explanation of Relevance

The attached documents, publications, and/or other information attached hereto are in the English language and do not require a statement of relevancy.

IV. Fees

The IDS is being filed under 37 C.F.R. §1.97(b); before the mailing date of a first action on the merits (37 C.F.R. §1.97(b)(3)). No fee or statement is required. In the event that a first Office Action on the merits has been issued, please consider this IDS under 37 C.F.R. §1.97(c) and charge our Deposit Account in the amount of \$240.00 as required by 37 C.F.R. §1.17(p).

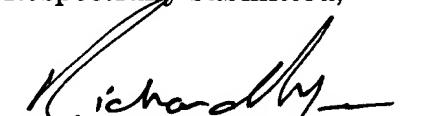
V. Payment of Fees

No fee is required.

If the Examiner has any questions concerning this IDS, he/she is requested to contact the undersigned. If it is determined that this IDS has been filed under the wrong rule, the PTO is requested to consider this IDS under the proper rule (with a petition if necessary) and charge the appropriate fee to Deposit Account 23-0035.

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit in the overpayment to Deposit Account 23-0035 for any additional fees required under 37 C.F.R. §1.16 or §1.17.

Respectfully submitted,



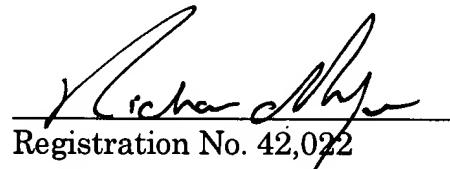
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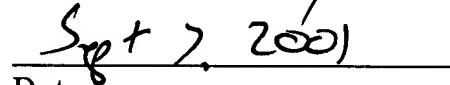
Attorneys for Applicant

CERTIFICATE OF FIRST CLASS MAILING

I hereby certify that this Information Disclosure Statement, Form PTO-1449, and 10 prior art references are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, September 7, 2001.

Richard S. Myers, Jr.


Registration No. 42,022


Date